

05-04-01

10/10/05

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Continued nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. P02010US1

First Inventor or Application Identifier Sheldon E. Harrison, Jr., and David L. Smith

Title "Electronic Bond &amp; Guaranty Process and Business Method"

Express Mail Label No. EK102705748US

JC96US048539  
03/01**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  "Fee Transmittal Form" (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

Total Pages



2.  Specification  
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Reference of Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3.  Drawing(s) (35 U.S.C. 113) Total Sheets

22



4.  Oath or Declaration Total Pages

4



a.  Newly executed (original or copy)

b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 17 completed)

i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 C.F.R. §§  
1.63(d)(2) and 1.33 (b)

5.  Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or  
declaration is supplied under Box 4b, is considered to be a part of the  
disclosure of the accompanying application and is hereby incorporated by  
reference thereto.

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation    Divisional    Continuation-in-part (CIP)

of prior application No.:

Prior application information:

Examiner:

Group / Art Unit:

**18. CORRESPONDENCE ADDRESS**

Customer Number or bar code label 06-2375 (10016563) or  Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name Fulbright &amp; Jaworski L.L.P.

Address 1301 McKinney, Suite 5100

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State

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Country U.S.A.

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Name (Print/Type)	J. Ashley Herring	Registration No. (Attorney/Agent)	47,644
Signature	Date 05/03/01 05/03/2001		

25024443v1

# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/03-12.

See 37 C.F.R. §§ 1.27 and 1.28

Complete If Known	
Application No.	Filed Herewith
Filing Date	May 3, 2001
First Named Inventor	Shelton E. Harrison, Jr. & David L. Smith
Examiner Name	
Group / Art Unit	
Attorney Docket No.	P02010US1

## TOTAL AMOUNT OF PAYMENT

## METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 06-2375

Deposit Account Name Fulbright &amp; Jaworski

Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17  Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance

Payment Enclosed:

Check  Money Order  Other

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code	Entity Fee (\$)	Small Entity Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to examiner action	
113	1840*	113	1840*	Requesting publication of SIR after examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1360	218	680	Extension for reply within fourth month	
128	1850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	280	221	130	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1210	241	605	Petition to revive - unintentional	
142	1210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	760	246	380	Filing a submission after final rejection (37 C.F.R. 1.129(b))	
149	760	249	380	For each additional invention	
Other fee (specify)					
Other fee (specify)					
* Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					

## FEE CALCULATION

## 1. BASIC FEE FILING

Large Entity Fee Code	Entity Fee (\$)	Small Entity Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	760	201	380	Utility filing fee	345
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
109	150	214	75	Provisional filing fee	
SUBTOTAL (1)					

## 2. EXTRA CLAIM FEES

Total Claims	- 20** =	Extra Claims	Fee from below	Fee Paid
68	- 20** =	48	9	432
17	- 3** =	14	40	560
Multiple Dependent				

\*or number previously paid, if greater. For Reissues, see below

Large Entity Fee Code	Entity Fee (\$)	Small Entity Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent Claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	** Release independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				

1332.0

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	J. Ashley Herring			Reg. Number	47,644
Signature		Date	05/03/2001	Deposit Account User ID	06-2375

25024430v1

**FULBRIGHT & JAWORSKI L.L.P.**

A REGISTERED LIMITED LIABILITY PARTNERSHIP

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HONG KONG

May 3, 2001

Re:      Applicant:                    Shelton E. Harrison, Jr. and David L. Smith  
Filed:                                    May 3, 2001  
Title:                                    "Electronic Bond & Guaranty Process and Business Method"  
Our File No.:                            P02010US1

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Enclosed please find:

- 1)      U.S. Patent Application;
- 2)      Utility Transmittal;
- 3)      Fee Transmittal;
- 4)      Combined Declaration and Power of Attorney;
- 5)      Transmittal letter (in duplicate); and
- 6)      Return Postcard.

If additional charges are due please charge the deposit account of Fulbright & Jaworski L.L.P., 06-2375 under Order No. P02010US1/10021686 from which the undersigned is authorized to draw.

Respectfully submitted,



J. Ashley Herring  
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